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#3

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hiroaki KUNIEDA et al.

Group Art Unit: 2621

Application No.: 09/972,200

Filed: October 9, 2001

Attorney Dkt. No.: 08215.129

For: SYSTEM FOR FINGERPRINT AUTHENTICATION

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN - BOX MISSING PARTS**

January 2, 2002

Sir:

In response to the Notice to File Missing Parts of Application dated November 8, 2001

(copy attached), the following are enclosed:

- ☒ \$65.00 as payment of the surcharge for the Notice to File Missing Parts;
- ☒ \$370.00 as payment for the small entity filing fee;
- ☐ \$\_\_\_\_\_ as payment for a \_\_\_\_\_ month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application [with a Preliminary Amendment]; and
- ☐ Other.

Applicants(s) are also enclosing the following:

☐ A Information Disclosure Statement with Form PTO-1449, \_\_\_\_\_ refs. [and a search report];

☐ A Submission of Priority Application No. \_\_\_\_\_ filed \_\_\_\_\_ along with \_\_\_\_\_ certified priority document(s);


☒ Originally executed Assignment with Form PTO-1595; and

☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

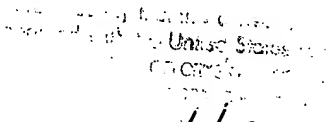
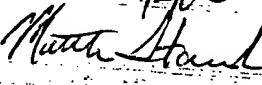
Please charge any fee deficiency or credit any overpayment to Deposit Account No. 50-0548.

Respectfully submitted,

  
Matthew W. Stavish  
Registration No. 36,286

Liniak, Berenato, Longacre & White  
6550 Rock Spring Drive  
Suite 240  
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MWS/ts

  
1/2/02  
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**Paper fees are subject to annual revision.**

*\*\* or number previously paid, if greater; For Reissues, see above*

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington DC 20231 on 12/10/02

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,200	10/09/2001	Hiroaki Kunieda	08215.129

LINIAK, BERENATO, LONGACRE & WHITE  
Suite 240  
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Bethesda, MD 20817



CONFIRMATION NO. 3105

FORMALITIES LETTER



\*OC000000007040211\*

Date Mailed: 11/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice MUST be returned with the reply.*

*CP*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/28/2002 MAILED1 00000017 09972200

01 FC:201  
02 FC:205

370.00 OP  
65.00 OP